

REMARKS

Claims 1-20 are now present in this application, with new claims 13-20 being added by the present Preliminary Amendment. It should be noted that the amendments to original claims 1-12 of the present application are non-narrowing amendments, made solely to place the claims in proper form for U.S. practice and not to overcome any prior art or for any other statutory considerations. For example, amendments have been made to broaden the claims; remove reference numerals in the claims; remove/change any phrases unique to European practice; remove multiple dependencies in the claims; and to place claims in a more recognizable U.S. form, including the use of the transitional phrase "comprising" as well as the phrase "wherein". Other such non-narrowing amendments include placing apparatus-type claims (setting forth elements in separate paragraphs) and method-type claims (setting forth elements in separate paragraphs) in a more recognizable U.S. form. Again, all amendments are non-narrowing and have been made solely to place the claims in proper form for U.S. practice and not to overcome any prior art or for any other statutory considerations.

CONCLUSION

Accordingly, in view of the above amendments and remarks, an early indication of the allowability of each of claims 1-20 in connection with the present application is earnestly solicited.

Should there be any outstanding matters that need to be resolved in the present application, the Examiner is respectfully requested to contact Donald J. Daley at the telephone number of the undersigned below.

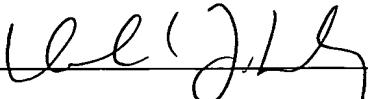
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credit any overpayment to Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

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## **SUBSTITUTE SPECIFICATION**

### **APPARATUS—DEVICE AND METHOD FOR COMMUNICATION WITH THE AID OF A CRYPTOGRAPHICALLY AN ENCRYPTED CODE TABLE**

**[0001]** This application is the national phase under 35 U.S.C. § 371 of PCT International Application No. PCT/EP2004/003535 which has an International filing date of April 2, 2004, which designated the United States of America and which claims priority on European Patent Application number EP 03011250.2 filed May 16, 2003, the entire contents of which are hereby incorporated herein by reference.

#### **Field**

**[0002]** The present invention generally relates to a communication processor apparatus for communication in a network having a processor device for processing incoming signals and for production and/or provision of outgoing signals, and/or to a code memory device for provision of a code for the processor device. The present invention also generally relates to a corresponding method for communication in a network.

#### **Background**

**[0003]** The so-called actuator sensor interface (AS-i) can be used in low-level buses for industrial applications. The actuator sensor interface is described in detail on the Internet at the address "www.as-interface.net".

**[0004]** A code sequence which is unique for this network and typically comprises 4 x 8 bits is stored in each slave in an AS-i network for transmission of safety-relevant or security-relevant data via an AS interface. A detailed description of a code sequence such as this can be found in the

compendium "AS-Interface - Die Lösung in der Automation" AS-i [AS interface - the solution in automation], February 2003, pages 134 et seqq.

[0005]—The code sequence is stored in a component which is isolated from an AS-i communication processor. The isolation of the communication processor and code memory makes it possible to prevent undesired transmission of the code sequence for example as a result of a short circuit or an inaccurate manufacturing process. Safety-relevant and security-relevant components and conductor tracks must primarily be physically isolated from one another in order to make it possible to ensure the required preclusion of errors and faults. Depending on the potentials\_and materials used, specific minimum separations must be complied with in this case. The minimum separations are, for example, 0.2 mm. For this reason,\_  
[0005] a code memory cannot be integrated in the communication processor.

#### SUMMARY

[0006] The An object of at least one embodiment of the present invention is thus to propose a simplified communication processor apparatus and/or —a corresponding communication method.

[0007] According to at least one embodiment of the invention, this an object may be is achieved by a communication processor apparatus for communication in a network having a processor device for processing incoming signals and for production and/or provision of outgoing signals, and a code memory device for provision of a code for the processor device, in which the code memory device is integrated in the processor device, the code is in an encrypted form in the code memory device, and the processor device can be connected to an external decoder device for decryption of at least a part of the code.

[0008] Furthermore, at least one embodiment of the invention provides a method for communication in a network, comprising ~~the following steps:~~ provision of a code and comparison of data with the code and/or transmission of the code into the network, in which the code is provided in an encrypted form in a communication processor apparatus at least a part of the encrypted code is decrypted outside the communication processor apparatus, and the decrypted code is made available to the communication processor apparatus.

[0009] Since the code is stored in an encrypted form in the communication processor, no valid code sequence is transmitted in the event of an error or fault in the communication processor. It is thus also possible for the code memory to be integrated in the communication processor avoiding the physical separation in accordance with the regulations of, for example, at least 0.2 mm between safety-relevant or security-relevant assemblies within an integrated circuit.

[0010] A common circuit such as this for the communication processor and the code memory device may be in the form of an ASIC, for example.

[0011] Encryption information and decryption information are preferablymay also be stored in the code memory device, and are may be made available to the decoding device. The external decoding device can thus be made simpler, since there is no need to store all of the decryption information in the decoding device.

[0012] The code memory device may have an input device for inputting an encrypted code. This allows the code to be stored in the communication processor apparatus and to be edited as required, for example by means—use of a PC.

[0013] The communication processor apparatus may also have an interchanging device, by ~~means of~~ which at least two digits in the multiple digit code can be interchanged. The interchanging process is used for partial decryption of the encrypted code. In general, ~~this means that~~ at least part of the decryption process can be carried out directly in the communication processor apparatus.

[0014] The communication processor apparatus ~~is—may~~ advantageously be used for an actuator sensor interface for communication in an AS-i network.

**BRIEF DESCRIPTION OF THE DRAWINGS**

[0015] The present invention will now be explained in more detail with reference to example embodiments and the attached drawings, in which:

- Figure 1 shows a circuit design for a communication processor apparatus according to the prior art;  
Figure 2 shows a circuit design for a communication processor apparatus according to at least one embodiment of the present invention;  
Figure 3 shows code tables based on a first embodiment;  
Figure 4 shows code tables based on a second embodiment;  
and  
Figure 5 shows a specific circuit design for use of the code tables in Figure 4.

**DETAILED DESCRIPTION OF THE EXAMPLE EMBODIMENTS**

[0016] The embodiments which are described in the following text represent preferred ~~exemplary~~ example embodiments of the present invention.

[0017] In order to describe example embodiments of the invention, the basic circuit diagram of a communication processor apparatus according to the prior art will first of all be explained in more detail with reference to Figure 1. A communication processor 1 transmits and receives data from an AS-i line 2. The code which is specific for the AS interface is stored in a code memory 3, which is equipped with its own voltage supply 4. The code memory 3 is connected via a timer 5 to the communication processor 1, and receives the necessary clock pulses from it.

[0018] The code memory 3 has four parallel outputs D0, D1, D2 and D3 for transmission of a four-digit code message in one AS-i cycle. The output lines D0 to D3 are passed to the communication processor 1 via a switching apparatus 6 and a level matching device 7. The switching device 6 may, for example, be in the form of an emergency-off switch, so that all of the lines are open, and zero is transmitted in each case, in the off state. This corresponds to the emergency-off state in accordance with the AS-i specification. The level matching device 7 matches the levels of the two separate assemblies to one another, specifically the communication processor 1 and the code memory 3.

[0019] According to at least one embodiment of the invention, a code memory 11 is now integrated in the communication processor 10, as illustrated in Figure 2. There is therefore no longer any need for the code memory to have its own voltage supply. The code memory 11 is still clocked by the communication processor 10.

[0020] In order to achieve the required level of safety and security, the code is stored in an encrypted form in the code memory 11. Furthermore, decryption information is also stored in the code memory 11, and is transmitted via a line INV in

parallel with the output lines D0\*, D1, D2\*, D3 to an external decoder 12. The lines D0\* and D2\* symbolize that the code is transmitted in an encrypted form at these digits and/or in these lines. The digits D0\* and D2\* are decrypted to form D0 and D2 by means of a specific decoding operation.

[0021] In the present example, the decoding operation is carried out by an exclusive-OR operation on the encrypted digit D0\* or D2\*, using decryption information INV. All of the uncoded or decoded digits D0 to D3 are now passed from the output lines of the decoder 12, via the switching device 6, to the communication processor 10.

[0022] Figure 3 uses an example to show the codes which are processed or created in the circuit shown in Figure 2. That 4 × 8 code sequence which represents the AS interface-specific code in the original is illustrated on the left-hand side. An encrypted 4 × 8 code sequence, including decryption information INV for each of the eight code messages, is illustrated in the center of Figure 3. Finally, the code is shown on the right-hand side of Figure 3, as it is fed into the communication processor 10. The transmitted code sequence corresponds exactly to the original code sequence shown on the left-hand side.

[0023] The control mechanism for the cryptic code table that is illustrated in the center of Figure 3 and is stored in the communication processor 10, which is in the form of an ASIC, is as follows:

D0\* = D0 ⊕ INV, and likewise

D2\* = D2  $\oplus$  INV.

[0024] In this case, the " $\oplus$ " symbolizes an exclusive-OR operation. The encryption and/or decryption information INV comprises one bit, filled with a 0 or 1 in a fixed or variable form, for the n code values. In the present ~~case~~example, INV is filled with a 1 for the first, third, sixth and seventh code values, and is filled with a 0 for the other code values. The INV information is also stored in the code memory 11, associated with the code value. The digits D0 and D3 in the code table stored in the code memory 11 are unchanged, and correspond to the original code.

[0025] The code table to be transmitted is recovered from the cryptic code table (see the center of Figure 3) stored in the communication processor 10 or the ASIC, as follows: The INV information is emitted at an ASIC pin.  $D0 = D0^* \oplus$  INV and  $D2 = D2^* \oplus$  INV are formed in the external decoder, and are transmitted. D1 and D3 are passed through the decoder 12 and are transmitted.

[0026] If the cryptic code table is compared with the code sequence that is transmitted in the end and is expected by a safety or security monitor, it can easily be seen that ASIC-internal errors or faults cannot result in undesired transmission of the valid code table.

[0027] According to at least one embodiment of the invention, this thus results in the advantage that, in comparison to the circuit design shown in Figure 1, it is possible to save a plurality of external circuit elements, specifically the external code memory 3, the voltage supply 4 for the code memory 3, the timer 5 and the level matching device 7, without any restriction to the safety or security category. These circuit elements are either already available or are not (or

are no longer) necessary in the communication processor, or else can be integrated in it on a virtually cost-neutral basis. This results in

[0027] considerable cost savings for a safe or secure AS-i slave, with a considerably reduced space requirement.

[0028] An alternative embodiment relating to the encryption and decryption of the code tables will be described with reference to Figures 4 and 5. The original code table is once again illustrated as a reference on the left-hand side of Figure 4. In a first encryption step, the values in the code table are shifted by +1, that is to say upward, at the digits D0 and D2. This shift is shown in the central table in Figure 2. In a second encryption step, values in the table are interchanged and/or inverted, as is illustrated in the right-hand table in Figure 4. These resultant values are written to the code memory 11, which is integrated in the communication processor. In addition, a flag is stored in the code memory 11 for every four-digit code message.

[0029] The control mechanism for the cryptographic code table in the ASIC in Figure 4 is:

D0 and D2 are shifted "forward" by one value and are inverted before being stored in the ASIC. D1 and D3 are interchanged for the first, third, sixth and seventh code values (in a fixed or else variable form for a total of four code values). These code values are identified by 1 for a fifth bit (flag). The code values with the D1/D3 bit, which has not been interchanged, are identified by the flag = 0. The flag information is also stored, associated with the code value.

[0030] The transmitted code table is recovered from the cryptographic code table in the ASIC on the basis of the circuit illustrated in Figure 5. The values D0\* and D2\*, which originate from the communication processor apparatus 20, are

inverted in external circuit parts 22 and 23, are provided with an offset voltage Offset 1 or Offset 2, respectively, and are thus delayed (by about 20  $\mu$ s), such that D0\* and D2\* are not transmitted until the next AS interface cycle. For this purpose, the

[0030] decrypted values D0 and D2 are passed back via switches 61 and 62 to the communication processor apparatus 20, or to the communication processor 21 contained in it. The circuit parts 22 and 23 each have an RC element RC to produce the delay, a transistor T connected to it to produce the inversion, and a voltage divider R to set the offset.

[0031] The values D1 and D3 are passed on internally in the communication processor apparatus and the ASIC 20, respectively, as a function of the offset voltages Offset 1 and Offset 2, which are produced in the presence of D0\* and D2\*, for transmission to the AS interface. For this purpose, the values D1\* and D3\* are interchanged by an internal switching device 24 in accordance with a flag. If the flag (see the right-hand table in Figure 4) is 0, the values D1\* and D3\* are not interchanged, and are passed on directly as D1 and D3 to the communication processor 21 via switches 25 and 26. These internal switches 25 and 26 are controlled via the offset voltages Offset 1 and Offset 2. For this purpose, a tap is provided for the lines D0 and D2.

[0032] If the external switches 61 and 62 are closed, the signals are each provided with an offset in the lines D0 and D2. These offset voltages are used to keep the internal switches 25 and 26 closed. If the AS interface is now disconnected, for example via an emergency-off switch, the two switches 61 and 62 are opened. The offset voltages Offset 1 and Offset 2 are no longer applied to the internal switches 25 and 26, so that they also open. A zero signal is thus present on

all the lines D0 to D3, thus resulting in the required state in accordance with the AS-i specification.

[0033] In principle, any other desired code tables and code sequences are also possible. The advantages mentioned above in conjunction with Figures 2 and 3 are also applicable to this embodiment.

[0034] Example embodiments being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from the spirit and scope of the present invention, and all such modifications as would be obvious to one skilled in the art are intended to be included within the scope of the following claims.